

The cocritical group of a cell complex

Art M. Duval (U. Texas, El Paso)

Caroline J. Klivans (Brown)

Jeremy L. Martin (University of Kansas)

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Cell complexes and combinatorial Laplacians

Throughout, X^d is a finite cell (CW) complex of dimension d .

Acyclization¹ of X : $(d + 1)$ -dimensional complex Ω such that $\tilde{H}_{d+1}(\Omega; \mathbb{Q}) = \tilde{H}_d(\Omega; \mathbb{Q}) = 0$ and $X = d$ -skeleton of Ω

Augmented cellular chain complex of Ω (over \mathbb{Z}):

$$\cdots \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} C_{i+1} \begin{array}{c} \xrightarrow{\partial_{i+1}} \\ \xleftarrow{\partial_{i+1}^*} \end{array} C_i \begin{array}{c} \xrightarrow{\partial_i} \\ \xleftarrow{\partial_i^*} \end{array} C_{i-1} \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \cdots$$

(identifying each i -cell with its characteristic function in C^i).

Combinatorial Laplacians (updown and downup):

$$L_i^{\text{ud}} = \partial_i \partial_i^* : C_{i-1} \rightarrow C_{i-1} \quad L_i^{\text{du}} = \partial_{i+1}^* \partial_{i+1} : C_{i+1} \rightarrow C_{i+1}$$

¹Not every complex has an acyclization, but many interesting ones do.

Critical and cocritical groups

Notation: $\mathbf{T}(G)$ = torsion summand of a f.g. abelian group G .

Critical groups of X :

$$K_{i-1}(X) := \mathbf{T}(\text{coker } L_i^{\text{ud}} : C_{i-1} \rightarrow C_{i-1})$$

Cocritical groups of X :

$$K_{i+1}^*(X) := \mathbf{T}(\text{coker } L_{i+1}^{\text{du}} : C_{i+1} \rightarrow C_{i+1})$$

- ▶ Shorthand: $K(X) = K^{d-1}(X)$ and $K^*(X) = K_{d+1}^*(X)$
- ▶ $K_{i+1}(X)$ is independent of the choice of acyclization Ω .
- ▶ To compute K and K^* , find Smith normal forms of Laplacians.
- ▶ X connected graph $\implies K(X)$ = usual critical group (cardinality = number of spanning trees).

Critical groups and cut and flow lattices

Let $n =$ number of i -cells, so $C_i(X, \mathbb{Z}) \cong \mathbb{Z}^n$.

Cut lattice: $\mathcal{C}_i = \text{Im } \partial_i^* \subseteq \mathbb{Z}^n$

Flow lattice: $\mathcal{F}_i = \text{ker } \partial_i \subseteq \mathbb{Z}^n$

Dual of a lattice $\mathcal{L} \subseteq \mathbb{Z}^n$:

$$\mathcal{L}^\sharp := \{v \in \mathcal{L} \otimes \mathbb{R}^n : \langle v, w \rangle \in \mathbb{Z} \quad \forall w \in \mathcal{L}\} \cong \text{Hom}_{\mathbb{Z}}(\mathcal{L}, \mathbb{Z}).$$

Theorem (DKM 12)

$K(X) \cong \mathcal{C}^\sharp / \mathcal{C}$ and $K^*(X) \cong \mathcal{F}^\sharp / \mathcal{F}$.

Moreover, there are short exact sequences

$$0 \rightarrow \mathbb{Z}^n / (\mathcal{C} \oplus \mathcal{F}) \rightarrow K(X) \rightarrow \mathbf{T}(\tilde{H}_{d-1}(X; \mathbb{Z})) \rightarrow 0,$$

$$0 \rightarrow \mathbf{T}(\tilde{H}_{d-1}(X; \mathbb{Z})) \rightarrow \mathbb{Z}^n / (\mathcal{C} \oplus \mathcal{F}) \rightarrow K^*(X) \rightarrow 0.$$

Critical groups and cut and flow lattices

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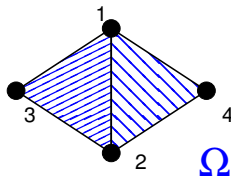
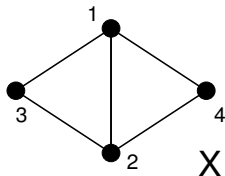
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$$0 \rightarrow \mathbf{T}(\tilde{H}_{d-1}(X; \mathbb{Z})) \rightarrow \mathbb{Z}^n/(\mathcal{C} \oplus \mathcal{F}) \rightarrow K^*(X) \rightarrow 0.$$

- ▶ If $\tilde{H}_{d-1}(X; \mathbb{Z})$ is torsion-free (for example, if X is a graph) then $K(X) \cong K^*(X)$.
- ▶ Graph case (and motivation for present work):
Bacher–de La Harpe–Nagnibeda 1997
- ▶ “Torsion makes $K(X)$ bigger and $K^*(X)$ smaller.”

Example 1

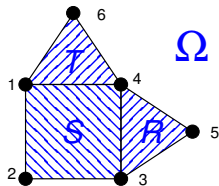
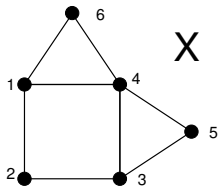


$$\partial_2(\Omega) = \begin{array}{c} 123 \quad 124 \\ \begin{pmatrix} 12 & 1 & 1 \\ 13 & -1 & 0 \\ 23 & 1 & 0 \\ 14 & 0 & -1 \\ 24 & 0 & 1 \end{pmatrix} \end{array}$$

$$L_2^{\text{du}} = \partial_2^* \partial_2 = \begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$$

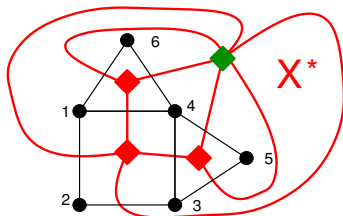
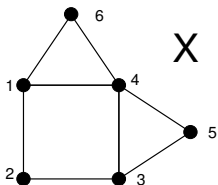
Cokernel: $\mathbb{Z}/8\mathbb{Z} \cong K(X)$

Example 2



$$L_2^{\text{du}}(\Omega) = \begin{matrix} & R & S & T \\ \begin{matrix} R \\ S \\ T \end{matrix} & \begin{pmatrix} 3 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 3 \end{pmatrix} \end{matrix}$$

Example 2 and Planar Duality



$$L^{\text{du}}(\Omega) = \begin{pmatrix} 3 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 3 \end{pmatrix} = \text{reduced Laplacian of planar dual } X^*$$

Corollary [Cori–Rossin 2000]: If X is a planar graph and X^* is any planar dual then $K(X) \cong K^*(X) \cong K(X^*)$.

Enumerating Cellular Spanning Trees

Recall that when X is a connected graph, $|K(X)| =$ number of spanning trees. More generally

$$|K(X)| = \tau_d(X) := \sum_{\Upsilon} |\mathbf{T}(\tilde{H}_{d-1}(\Upsilon; \mathbb{Z}))|^2$$

where Υ ranges over all **cellular spanning forests in X** : subcomplexes with complete $(d-1)$ -skeleton such that

- ▶ $\tilde{H}_d(\Upsilon; \mathbb{Z}) = 0$ (“acyclic”) and
- ▶ $|\tilde{H}_{d-1}(\Upsilon; \mathbb{Q})| = |\tilde{H}_{d-1}(X; \mathbb{Q})|$ (“connected”).

(Lyons, DKM, Catanzaro–Chernyak–Klein)

Enumerating Cellular Spanning Trees

Theorem (Lyons 09, DKM 11, Catanzaro–Chernyak–Klein 12)

The critical group counts forests by torsion homology:

$$|K(X)| = \tau_d(X) := \sum_{\text{forests } \Upsilon \subseteq X} |\mathbf{T}(\tilde{H}_{d-1}(\Upsilon; \mathbb{Z}))|^2$$

Theorem (DKM 12)

*The cocritical group counts forests by **relative** torsion homology:*

$$|K^*(X)| = \tau_d^*(X) := \sum_{\text{forests } \Upsilon \subseteq X} |\tilde{H}_d(X, \Upsilon; \mathbb{Z})|^2$$

Cellular Spheres

Theorem (DKM 11)

Let X be a cellular sphere with n facets (e.g., the boundary of a convex polytope). Then $K(X) \cong \mathbb{Z}/n\mathbb{Z}$.

Our original proof: Blah blah blah.

New proof: $K(X) \cong K^*(X)$ (since $\tilde{H}_{d-1}(X; \mathbb{Z}) = 0$). Form an acyclization Ω by attaching **one** $(d+1)$ -cell whose boundary is a signed sum of the d -cells. Therefore

$$K^*(X) \cong \operatorname{coker} L_{d+1}^{\text{du}}(\Omega) = \operatorname{coker} [n] = \mathbb{Z}/n\mathbb{Z}.$$

Question: Are there other complexes for which it is easier to compute the cocritical group than the critical group, or at least to count spanning trees?

More Applications

Example 1: X = octahedron subdivided into eight tetrahedra;
 $f(X) = (1, 7, 18, 20, 8)$.

How many spanning 2-trees does X have?

- ▶ $L_1^{\text{ud}}(X) = \partial_2 \partial_2^* =$ some 18×18 matrix
- ▶ $L_3^{\text{du}}(X) = \partial_3^* \partial_3 = I + L(Q_3)$ ($Q_3 =$ cube graph)
- ▶ Eigenvalues of $L(Q_3)$: 0, 2, 2, 2, 4, 4, 4, 6
- ▶ Eigenvalues of $I + L(Q_3)$: 1, 3, 3, 3, 5, 5, 5, 7

$$\tau_2(X) = 3^3 \cdot 5^3 \cdot 7.$$

(Note: L_1^{ud} has integer eigenvalues.)

More Applications

Example 1: X = octahedron subdivided into eight tetrahedra

Example 2: Y = polyhedral cell complex from X obtained by “puffing up” each tetrahedron into a bipyramid.

- ▶ $L_3^{\text{du}}(Y) = \partial_3^* \partial_3 = 3I + L(Q_3)$
- ▶ Eigenvalues of $L(Q_3)$: 0, 2, 2, 2, 4, 4, 4, 6
- ▶ Eigenvalues of $3I + L(Q_3)$: 3, 5, 5, 5, 7, 7, 7, 9

$$\tau_2(Y) = 3 \cdot 5^3 \cdot 7^3 \cdot 9.$$

- ▶ $L_1^{\text{ud}}(Y)$ does **not** have integer eigenvalues.

Some Questions

1. For some small complexes, L_{i-1}^{ud} and L_{i+1}^{du} are simultaneously Laplacian integral. Is this a coincidence or is there some connection between their spectra?
2. Are there (families of) complexes other than spheres for which the structure of $K^*(X)$ can easily be determined?
3. Generalization: (co)critical groups of arbitrary chain complexes — it is still the case that $K_{i-1} = K_{i+1}^*$ if there is no torsion homology

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Thanks for listening!