

# Stanley-Reisner Rings (10/24/02)

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$k[\Delta]$  associated a simplicial complex  $\Delta$  on vertex set  $V = k[x_v : v \in V]/I_\Delta$ , where

$$I_\Delta = \{x_{v_1}, \dots, x_{v_r} : \{v_1, \dots, v_r\} \notin \Delta\}$$

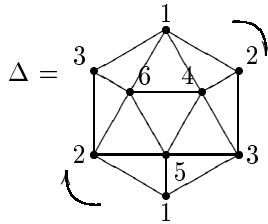
= arbitrary square-free monomial ideal

## Motivation (i)

Arbitrary graded rings deform to  $k[\Delta]$ 's, leaving many properties (Krull dimension, Hilbert series, degree of projection embedding) unchanged; and having many homological invariants only increasing.

## Motivation (ii)

For  $k[d]$ , almost any (ring-theoretic) homological invariant (e.g.,  $Tor^s(k[\Delta], \cdot)$ ,  $H_m(k[\Delta])$  local cohomology) are computed via simplicial (co-) homology of  $\Delta$ . E.g., dependence on the characteristic of the field  $k$  can be subtle for these ring invariants, but comes down to torsion for  $H(\Delta, k)$ .



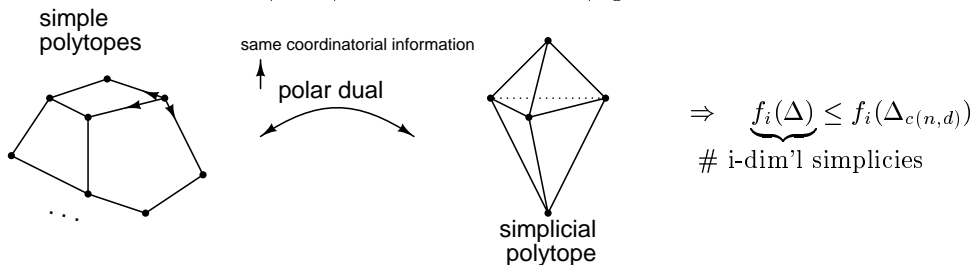
$= \mathbb{R}P^2$  has  $k[\Delta] = k[x_1, x_2, \dots, x_6]/(x_1x_2x_3, x_1x_2x_6, \dots)$   
with most of its homological invariants  
depending upon whether  $\text{char}(k) = 2$  or not, since

$$\tilde{H}_i(\Delta; k) = \begin{cases} 0 & i > 2 \\ k & i = 2 \\ k & i = 1 \\ 0 & i = 0 \end{cases} \quad \text{if } \text{char}(k) = 2$$

$$= 0 \quad \forall i, \quad \text{if } \text{char}(k) \neq 2.$$

## Motivation (iii)

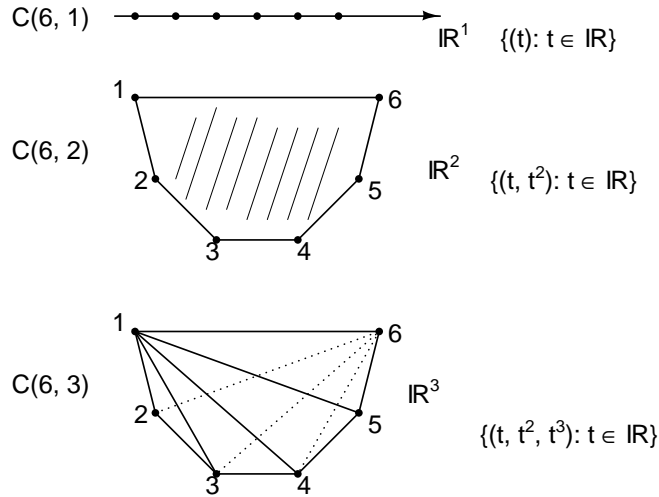
For some combinatorial problems about simplicial complexes  $\Delta$ , the approach via  $k[\Delta]$  is the easy way or the only way. E.g., The upper bound conjecture (UBC) for simplicial polytopes and spheres (Motzkin 1957?)  
CONJ:  $\Delta$  a simplicial  $(d-1)$ -dimensional sphere (e.g., boundary of a simplicial convex polytope)



where

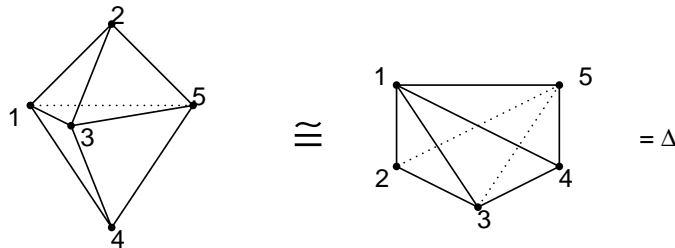
$\Delta_{c(n,d)}$  = boundary of the cyclic  $d$ -polytope  $C(n,d)$  with  $n$  vertices  
 = convex hull of any  $n$  points on the moment curve  $\{(t, t^2, \dots, t^d) : t \in \mathbb{R}\} \subset \mathbb{R}^d$

e.g.  $n = 6$



UBC is proven for convex polytopes by Peter McMullen in 1970 (?) using key observations about the  $n$ -vectors ...

C(5, 3) d=3



$$f(\Delta)(f_{-1}, f_0, f_1, f_2) = (1, 5, 9, 6)$$

$$h(\Delta)(h_0, h_1, h_2, h_3) = (1, 2, 2, 1)$$

$$\begin{array}{ccccccc} & & & & 1 & & \\ & & & & & 1 & 5 \\ & & & 1 & 4 & 9 & \\ & 1 & 3 & 5 & 6 & & \\ \hline (1 & 2 & 2 & 1) = h(\Delta) & & & \end{array}$$

So

$$\begin{aligned}
 \text{Hilb}(k[\Delta], t) &= f_{-1} + f_0 \left(\frac{t}{1-t}\right) + f_1 \left(\frac{t}{1-t}\right)^2 + f_2 \left(\frac{t}{1-t}\right)^3 \\
 &= 1 + 5 \left(\frac{t}{1-t}\right) + 9 \left(\frac{t}{1-t}\right)^2 + 6 \left(\frac{t}{1-t}\right)^3 \\
 &= \frac{h_0 + h_1 t + h_2 t^2 + f_3 t^3}{(1-t)^3} \\
 &= \frac{1 + 2t + 2t^2 + t^3}{(1-t)^3}
 \end{aligned}$$

### McMullen's observation 1

UBC follows from

$$h_i(\Delta) \leq \binom{n-d+i-1}{i}$$

where  $n = f_0 = \#$  of vertices.

(follows from explicit knowledge of  $f_i$  for boundary of  $C(n, d)$  and a little mucking around... )

### McMullen's observation 2

$h_i(\Delta) \leq \binom{n-d+i-1}{i}$  is easy to prove by induction on  $f_{d-1} = \#$  of *facets* (=maximal faces) for  $\Delta$  which are pure shellable simplicial complexes (of dimension  $d-1$  with  $n$  vertices)

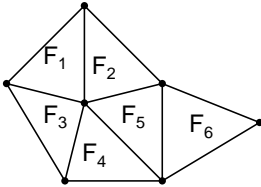
$\Delta$  is *shellable* if it can be built up by ordering facets  $F_1, F_2, \dots$  so that  $\forall i \geq 2$ ,

$$F_i \cap \left(\bigcup_{j < i} F_j\right)$$

sub complex gen'd by  $F_1, F_2, \dots, F_{i-1}$

is pure of codimension inside  $F_i$

When  $d = 3, d-1 = 2$ ,

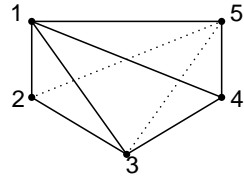


Brngesser & Mani (1969?), Boundary of convex polytopes are shellable (this proves UBC)

### McMullen's observation 3

For  $\Delta$  shellable,  $h_i(\Delta)$  counts something: it is equal to the number of facets  $F_i$  is shelling having  $d-i$  new walls,  $i$  old walls, where  $d-i$  new walls are not in  $\bigcup_{j < i} F_j$ .

e.g.,



For shellable  $\Delta$ ,

	facets	new walls	$d: \#$ new walls	
$F_1$	123	12, 13, 23	0	} $h_0 = 1$
$F_2$	134	14, 34	1	
$F_3$	145	15, 45	1	} $h_1 = 2$
$F_4$	345	35	2	
$F_5$	235	25	2	} $h_2 = 2$
$F_6$	125	$\emptyset$	3	
				} $h_3 = 1$

Cor 1:  $h_i(\Delta) \geq 0$

Cor 2:  $h_i(\Delta) = h_{d-i}(\Delta)$  (provided  $\Delta$  is the boundary of a  $d$ -dimensional polytope, or more generally has a shelling order whose reverse is also a shelling order).

<sup>1905</sup> Dehn – <sup>1927</sup> Sommerville equations. (The reverse of a Barg-Mani shelling is still a shelling, and “old”  $\leftrightarrow$  “new”)